## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Bremer et al.

Title:

CRYSTAL STRUCTURE OF

PIM-1 KINASE

Appl. No.:

10/664,421

Filing Date:

September 16, 2003

Examiner:

Nashed

Art Unit:

1656

Confirmation 3203

Number:

## INFORMATION DISCLOSURE STATEMENT **UNDER 37 CFR §1.56**

Mail Stop Amendment-IDS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56.

A copy of each non-U.S. patent document and each non-patent document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to

antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), before the mailing date of a first office action after the filing of a request for continued examination under §1.114

## RELEVANCE OF EACH DOCUMENT

All of the documents are in English and were cited in the Supplementary Partial European Search Report for corresponding EP Application No. 03 75 4735..

Applicants respectfully request that each listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by the credit card payment form being unsigned, providing incorrect information resulting in a rejected credit card transaction, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date

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Ву

Richard J. Warburg Attorney for Applicant Registration No. 32,327 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Substitute for form	1449/F	PTO	Complete if Known		
	INFORMATION DIS	SCLO	SURE	Application Number	10/664,421	
	STATEMENT BY A	APPLI	CANT	Filing Date	9/16/2003	
				First Named Inventor	Bremer	
				Art Unit	1656	
(use as many sheets as necessary)				Examiner Name	Nashed	
Sheet	1	of	2	Attorney Docket Number	039363-0703	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	

UNPUBLISHED U.S. PATENT APPLICATION DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Application Document Serial Number-Kind Code <sup>2</sup> (if known)	Filing Date of Cited Document MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>		
	A1	WO 99/45379	09-10-1999	Abbott Laboratories				
	A2	WO 01/42231	06-14-2001	Welichem Biotech Inc.				
	A3	WO 00/75667	12-14-2000	Kinetek Pharmaceuticals				

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No.1	- 7 I Itom (book magazino jolirna) porial evimpopium patalog ote ) dato pago(e) volumo jeguo numbor(e)						
	A4	Norin and Sundstrom, "Structural proteomics: Developments in structure-to-function predictions." Trends in Biotechnology, 20(2): 79-84, 2002.	To the second se					
	A5	Stahura et al., "Molecular scaffold-based design and comparison of combinatorial libraries focused on the ATP-binding site of protein kinases." Journal of Molecular Graphics and Modelling, 17:1-9, 1999.						
	A6	Chayen, "Trackling the bottleneck of protein crystallization in the post-genomic era." Trends in Biotechnology, 20(3): p. 98, 2002.						
enem dende auszeren aus den der eine der der eine den den den der den der eine den der eine den der eine den d	A7	Mochizuki et al., Pim-1 kinase stimulates c-Myc-mediated death signaling upstream of caspase-3 (CPP32)-like protease activation." Oncogene, 15:1471-1480, 1997.						

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 03/31/2007. OMB 0651-0031

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	Substitute f	or form 1449/F	PTO OTC	Complete if Known		
	INFORMATION	ON DISCLO	SURE	Application Number	10/664,421	
STATEMENT BY APPLICANT				Filing Date	9/16/2003	
				First Named Inventor	Bremer	
				Art Unit	1656	
(use as many sheets as necessary)			cessary)	Examiner Name	Nashed	
Sheet	2	of	2	Attorney Docket Number	039363-0703	

	NON PATENT LITERATURE DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т6					
	A8	EP Supplementary European Search Report for EP Application No. 03 75 4735.						

Examiner Signature	Date Considered	

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